

**LIST OF REFERENCES CITED BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

8932-320

APPLICATION NO.

09/788,639

APPLICANT

Roger BERGER

FILING DATE

February 21, 2001

GROUP

3738

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
KD	AA	6,187,005	02/13/01	Brace et al.	606	61	
	AB	6,179,838	01/30/01	Fiz	606	61	
	AC	6,171,311	01/09/01	Richelsoph	606	61	
KD	AD	6,136,003	10/24/00	Hoeck et al.	606	61	
	AE	6,146,382	11/14/00	Hurlbert	606	61	
KD	AF	6,113,600	09/05/00	Drummond et al.	606	61	
	AG	6,110,173	08/29/00	Thomas, Jr.	606	61	
	AH	6,106,527	08/22/00	Wu et al.	606	61	
	AI	5,993,449	11/30/99	Schlapfer et al.	606	60	
	AJ	5,989,251	11/23/99	Nichols	606	61	
	AK	5,980,523	11/09/99	Jackson	606	61	
	AL	5,976,135	11/02/99	Sherman et al.	606	61	
	AM	5,947,966	09/07/99	Drewry et al.	606	61	
	AN	5,947,965	09/07/99	Bryan	606	61	
	AO	5,928,233	07/27/99	Apfelbaum et al.	606	61	
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KO	BX	4,836,193	06/06/89	Ransford	128	69	
	BY	4,773,402	09/27/88	Asher et al.	128	69	
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KO	CD	3,242,922	03/29/66	Thomas	128	92	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES *Abstract only	NO
KO ✓	CE	WO 98/41160	09/98	PCT (see CM)			X	
	CF	WO 95/31147	11/95	PCT			X	
	CG	WO 94/28813	12/94	PCT			X	
	CH	EP 0 308 156	03/89	EPO			X	
KO ✓	CI	EP 0 737 449 A1	10/96	EPO (see CN)			X*	
	CJ	JP 10-80431	03/98	Japan			X	X
KO ✓	CK	JP 9-98984	04/97	Japan			X	X
KO ✓	CL	JP 6-7371	11/94	Japan (see BM)			X	X
	CM	FR 2 760 629	09/98	France (see CE)			X	X
	CN	FR 2 732 888	10/96	France (see CI)			X	X
KO ✓	CO	DE 29712285	11/97	Germany				X

KD	CP	DE 4434574	04/96	Germany					
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)									
KD	CQ	"Occipitocervical Screw Plates," Curtis A. Dickman, in <i>Surgery of the Craniovertebral Junction</i> , Thieme, New York, 1998, pp. 761-808.							
KD	CR	"Injuries to the Occipital Cervical Articulation," Paul A. Anderson, in <i>The Cervical Spine</i> , Third Edition, Lippincott-Raven Publishers, Philadelphia, 1998, pp. 387-399.							
KD	CS	Current Techniques in Spinal Stabilization, Richard G. Fessler, Ed., McGraw-Hill, New York, 1996, pp. 45-56, 89-92, 101-106.							
EXAMINER					DATE CONSIDERED				
Kathryn Ireland					3/4/04				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									



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Express Mail No. Hand Carry First Class Mail ( )  
Date Mailed 6-15-01  
Ser. No. 09/788,639  
Inventor Roger Berger Filed Feb. 21, 2001  
For Occipital Plate and System for Spinal Stabilization

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|---|--|
| <input type="checkbox"/> Affidavit/Declaration                                      | <input type="checkbox"/> Fee Address Indication Form                           |
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| <input type="checkbox"/> Assignment   | <input checked="" type="checkbox"/> Petition to Extend Time <u>one month</u>   |
| <input type="checkbox"/> Brief (in triplicate)                                      | <input type="checkbox"/> Pet. under 37 C.F.R. (Duplicate)                      |
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